| General information about company | |
|--|------------------------|
| Scrip code | 511535 |
| Name of the company | NDA Securities Limited |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 30-09-2017 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |

| Sr. No. | Particular | Yes/No |
|---------|--|--------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No |
| 7 | Whether company has equity shares with differential voting rights? | No |

| | | Tab | ole I - Su | mmary S | Statement | holding o | of specified secur | ities | | | |
|-----------------|---|--------------|----------------------|--|--------------------------|----------------------|---------------------------------------|----------------|---------------|------------|-------------------|
| | Category | Nos. Of | No. of fully paid | No. Of Partly shares share | | of total no. of | | | | | |
| Category (I) | of shareholder | shareholders | up equity | paid-up equity | underlying Depository | held (VII) | shares (calculated as per SCRR, 1957) | No of Vo | ting (X | (V) Rights | Total as |
| (-) | (II) | (III) | shares held (IV) | shares held (V) | Receipts (VI) | = (IV)+ (V)+ (VI) | (VIII) As a % of (A+B+C2) | Class eg: X | Class eg:y | Total | a % of (A+B+C) |
| (A) | Promoter & Promoter Group | 8 | 3639240 | | | 3639240 | 71.47 | 3639240 | | 3639240 | 71.47 |
| (B) | Public | 3583 | 1452960 | | | 1452960 | 28.53 | 1452960 | | 1452960 | 28.53 |
| (C) | Non Promoter- Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 3591 | 5092200 | | | 5092200 | 100 | 5092200 | | 5092200 | 100 |

| | | Tabl | e I - Summ | ary Statemen | t holding of specified | secu | rities | | | |
|----------|---|---|---------------------------------|---|---|--|--|--|--|---|
| Category | Category Category of | Category Underlying Shares | No. of Shares Underlying | No. Of Shares Underlying Outstanding convertible | Shareholding, as a % assuming full conversion of convertible securities (| Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | equity shares |
| (1) | shareholder (II) | Outstanding convertible securities (X) | Outstanding Warrants (Xi) | securities and No. Of Warrants (Xi) (a) | and as a percentage of diluted share capital) $(XI)=$ (VII)+(X) As a % of | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | held in dematerialized form (XIV) |
| (A) | Promoter & Promoter Group | | | | 71.47 | | | | | 3639240 |
| (B) | Public | | | | 28.53 | | | | | 1047900 |
| (C) | Non Promoter- Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | | | | 100 | | | | | 4687140 |

| 7 | fable II - Staten | ient showii | ng share | holdin | g pattern | of the P | romoter and | Promot | er Gro | oup | |
|---|---|----------------------|----------------------------|-----------------------|------------------------------------|---------------------------|--|---------------------------|---------------|-----------------------------|-----------------------------------|
| | | | No. of | No. Of Partly | No. Of | Total nos. | Shareholding as a % of total no. | | | g Rights ho curities (IX | |
| Sr. | Category & Name of the | Nos. Of shareholders | fully paid up equity | paid- up equity | shares underlying Depository | shares held (VII) = | of shares (calculated as per SCRR, | No of Voting (XIV) Rights | | | Total as a |
| | Shareholders (I) | (III) | shares held (IV) | shares held (V) | Receipts (VI) | (IV)+ (V)+ (VI) | 1957) (VIII) As a % of (A+B+C2) | Class eg: X | Class eg:y | Total | % of Total Voting rights |
| А | Table II - Statement | t showing shar | eholding pa | attern of t | the Promoter | and Promo | oter Group | | | | |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 7 | 3589240 | | | 3589240 | 70.49 | 3589240 | | 3589240 | 70.49 |
| (d) | Any Other (specify) | 1 | 50000 | | | 50000 | 0.98 | 50000 | | 50000 | 0.98 |
| Sub-Total (A) (1) | | 8 | 3639240 | | | 3639240 | 71.47 | 3639240 | | 3639240 | 71.47 |
| (2) | Foreign | | | | | | | • | | | • |
| Total Shareholding of Promoter and Promoter Group $(A)=$ (A)(1)+(A) (2) | | 8 | 3639240 | | | 3639240 | 71.47 | 3639240 | | 3639240 | 71.47 |
| В | Table III - Statemer | nt showing sha | reholding p | attern of | the Public sh | areholder | | | | | |
| (1) | Institutions | | | | | | | | | | |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 3496 | 629469 | | | 629469 | 12.36 | 629469 | | 629469 | 12.36 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 9 | 707640 | | | 707640 | 13.9 | 707640 | | 707640 | 13.9 |
| (e) | Any Other (specify) | 78 | 115851 | | | 115851 | 2.28 | 115851 | | 115851 | 2.28 |
| Sub-Total (B) (3) | | 3583 | 1452960 | | | 1452960 | 28.53 | 1452960 | | 1452960 | 28.53 |
| Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3) | | 3583 | 1452960 | | | 1452960 | 28.53 | 1452960 | | 1452960 | 28.53 |
| С | Table IV - Statemen | t showing sha | reholding p | attern of | the Non Pror | noter- Non | Public shareholde | er | | | |
| Total (A+B+C2) | | 3591 | 5092200 | | | 5092200 | 100 | 5092200 | | 5092200 | 100 |
| Total (A+B+C) | | 3591 | 5092200 | | | 5092200 | 100 | 5092200 | | 5092200 | 100 |

| Та | ble II - Stat | ement show | wing shareholdi | ng pattern of the Promot | ter ai | nd Pro | mote | er Grou | ıp |
|---|--|---------------------------------|--|--|--|--|--|--|---|
| 0 | No. Of Shares Underlying | No. of Shares Underlying | No. Of Shares Underlying Outstanding | Shareholding, as a % assuming full conversion of convertible securities (as a | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares |
| Sr. | Outstanding convertible securities (X) | Outstanding Warrants (Xi) | convertible securities and No. Of Warrants (Xi) (a) | percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | held in dematerialized form (XIV) |
| А | Table II - State | ement showing | g shareholding patter | n of the Promoter and Promoter | Group |) | | | |
| (1) | Indian | | | | | | | | |
| (a) | | | | 70.49 | | | | | 3589240 |
| (d) | | | | 0.98 | | | | | 50000 |
| Sub-Total (A) (1) | | | | 71.47 | | | | | 3639240 |
| (2) | Foreign | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group $(A)=$ (A)(1)+(A)(2) | | | | 71.47 | | | | | 3639240 |
| В | Table III - Sta | tement showin | g shareholding patte | ern of the Public shareholder | | | | | • |
| (1) | Institutions | | | | | | | | |
| (3) | Non-institution | S | | | | | | | |
| (a(i)) | | | | 12.36 | | | | | 240609 |
| (a(ii)) | | | | 13.9 | | | | | 707640 |
| (e) | | | | 2.28 | | | | | 99651 |
| Sub-Total (B) (3) | | | | 28.53 | | | | | 1047900 |
| Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3) | | | | 28.53 | | | | | 1047900 |
| С | Table IV - Sta | tement showir | ng shareholding patte | ern of the Non Promoter- Non Pu | ıblic sl | hareholde | er | | |
| Total (A+B+C2) | | | | 100 | | | | | 4687140 |
| Total (A+B+C) | | | | 100 | | | | | 4687140 |

| Searial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
|---|--------------------|-----------------------|-------------|-------------|-------------------|---------------|----------------------|
| Name of the Shareholders (I) | Deepti Agarwal | N D Agarwal | Neena Dewan | Reena Gupta | Sanjay Agarwal | Saroj Agarwal | N D Agarwal (HUF) |
| PAN (II) | AEGPA7817K | AADPA9961B | AGMPD7770N | AHFPG8514R | AADPA9959M | AADPA9960A | AAAHN1950Q |
| No. of fully paid up equity shares held (IV) | 28451 | 3034774 | 10 | 510 | 180785 | 80010 | 264700 |
| No. Of Partly paid- up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 28451 | 3034774 | 10 | 510 | 180785 | 80010 | 264700 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0.56 | 59.6 | 0 | 0.01 | 3.55 | 1.57 | 5.2 |
| Number of Voting F | Rights held in eac | h class of securities | es (IX) | | | | |
| Class eg:X | 28451 | 3034774 | 10 | 510 | 180785 | 80010 | 264700 |
| Class eg:y | | | | | | | |
| Total | 28451 | 3034774 | 10 | 510 | 180785 | 80010 | 264700 |
| Total as a % of Total Voting rights | 0.56 | 59.6 | 0 | 0.01 | 3.55 | 1.57 | 5.2 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 0.56 | 59.6 | 0 | 0.01 | 3.55 | 1.57 | 5.2 |
| Number of Locked | in shares (XII) | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of Shares | pledged or otherw | vise encumbered (| (XIII) | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of equity | 28451 | 3034774 | 10 | 510 | 180785 | 80010 | 264700 |

| shares held in dematerialized form (XIV) | | | | |
|--|------------|--|--|--|
| Reason for not prov | viding PAN | | | |
| Reason for not providing PAN | | | | |

| | Individuals/Hindu undivided Family |
|---|--|
| Searial No. | |
| Name of the Shareholders (I) | Click here to go back |
| PAN (II) | Total |
| No. of fully paid up equity shares held (IV) | 3589240 |
| No. Of Partly paid- up equity shares held (V) | |
| No. Of shares underlying Depository Receipts (VI) | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 3589240 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 70.49 |
| Number of Voting F | Rights held in each class of securities (IX) |
| Class eg:X | 3589240 |
| Class eg:y | |
| Total | 3589240 |
| Total as a % of Total Voting rights | 70.49 |
| No. Of Shares Underlying Outstanding convertible securities (X) | |
| No. of Shares Underlying Outstanding Warrants (Xi) | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 70.49 |
| Number of Locked | in shares (XII) |
| No. (a) | |
| As a % of total Shares held (b) | |
| Number of Shares J | pledged or otherwise encumbered (XIII) |
| No. (a) | |
| As a % of total Shares held (b) | |
| Number of equity | 3589240 |

| shares held in dematerialized form (XIV) | |
|--|------------|
| Reason for not prov | viding PAN |
| Reason for not providing PAN | |

| Any Other (specify) | | | | | | | |
|---|--|-----------------------|--|--|--|--|--|
| Searial No. | 1 | | | | | | |
| Category | Bodies Corporate | Click here to go back | | | | | |
| Name of the Shareholders (I) | NDA Research & Technologies Private Limited | | | | | | |
| PAN (II) | AAACN3247M | Total | | | | | |
| No. of the Shareholders (I) | 1 | 1 | | | | | |
| No. of fully paid up equity shares held (IV) | 50000 | 50000 | | | | | |
| No. Of Partly paid- up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 50000 | 50000 | | | | | |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0.98 | 0.98 | | | | | |
| Number of Voting H | Rights held in each class of securities (IX) | | | | | | |
| Class eg: X | 50000 | 50000 | | | | | |
| Class eg:y | | | | | | | |
| Total | 50000 | 50000 | | | | | |
| Total as a % of Total Voting rights | 0.98 | 0.98 | | | | | |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 0.98 | 0.98 | | | | | |
| Number of Locked | in shares (XII) | 1 | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of Shares | pledged or otherwise encumbered (XIII) | | | | | | |

| As a % of total Shares held (b) | | |
|--|-------|-------|
| Number of equity shares held in dematerialized form (XIV) | 50000 | 50000 |
| Reason for not providing PAN | | |

| Comin 1 M. | 1 | 2 | 2 | 4 | |
|--|---------------------|--------------------------|----------------------|-----------------|-----------------------|
| Searial No. | 1 | 2 | 3 | 4 | |
| Shareholders (I) | Prabha Somani | Rameshwar Dayal Tayal | Trilok Chand Agarwal | Usha Rani Gupta | Click here to go back |
| PAN (II) | ANWPS5683G | AACPT8652K | AIMPA0057B | AAFPG4766J | Total |
| No. of fully paid up equity shares held (IV) | 160971 | 176354 | 168436 | 77672 | 583433 |
| No. Of Partly paid- up equity shares held (V) | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 160971 | 176354 | 168436 | 77672 | 583433 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 3.16 | 3.46 | 3.31 | 1.53 | 11.46 |
| Number of Voting F | Rights held in each | class of securities (IX) | T | | T |
| Class eg: X | 160971 | 176354 | 168436 | 77672 | 583433 |
| Class eg:y | | | | | |
| Total | 160971 | 176354 | 168436 | 77672 | 583433 |
| Total as a % of Total Voting rights | 3.16 | 3.46 | 3.31 | 1.53 | 11.46 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 3.16 | 3.46 | 3.31 | 1.53 | 11.46 |
| Number of Locked | in shares (XII) | • | • | - | • |
| No. (a) | | | | | |
| As a % of total Shares held (b) | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 160971 | 176354 | 168436 | 77672 | 583433 |
| Reason for not prov | viding PAN | • | | | • |
| Reason for not | | | | | |

| providing PAN | | | |
|---------------|--|--|--|

| Any Other (specify) | | | | | | | |
|--|------------------------------|------------------|-------------|----------|-----------------------|--|--|
| Searial No. | 1 | 2 | 3 | 4 | | | |
| Category | Bodies Corporate | NRI – Non- Repat | NRI – Repat | HUF | | | |
| Category / More than 1 percentage | Category | Category | Category | Category | | | |
| Name of the Shareholders (I) | | | | | Click here to go back | | |
| PAN (II) | | | | | Total | | |
| No. of the Shareholders (I) | 56 | 1 | 1 | 20 | 78 | | |
| No. of fully paid up equity shares held (IV) | 85310 | 1000 | 10 | 29531 | 115851 | | |
| No. Of Partly paid- up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 85310 | 1000 | 10 | 29531 | 115851 | | |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.68 | 0.02 | 0 | 0.58 | 2.28 | | |
| Number of Voting I | Rights held in each class of | securities (IX) | | | | | |
| Class eg: X | 85310 | 1000 | 10 | 29531 | 115851 | | |
| Class eg:y | | | | | | | |
| Total | 85310 | 1000 | 10 | 29531 | 115851 | | |
| Total as a % of Total Voting rights | 1.68 | 0.02 | 0 | 0.58 | 2.28 | | |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 1.68 | 0.02 | 0 | 0.58 | 2.28 | | |
| Number of Locked in shares (XII) | | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| | | | | | | | |

| Number of equity shares held in dematerialized form (XIV) | 69110 | 1000 | 10 | 29531 | 99651 | |
|--|------------------------------|------|----|-------|-------|--|
| Reason for not prov | Reason for not providing PAN | | | | | |
| Reason for not providing PAN | | | | | | |